| General information about company | | | | | | | |
|--------------------------------------------------------------------------------------------|--------------------------|--|--|--|--|--|--|
| Scrip code | 502865 | | | | | | |
| NSE Symbol | | | | | | | |
| MSEI Symbol | | | | | | | |
| ISIN | INE518A01013 | | | | | | |
| Name of the company | FORBES & COMPANY LIMITED | | | | | | |
| Whether company is SME | No | | | | | | |
| Class of Security | Equity Shares | | | | | | |
| Type of report | Quarterly | | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2018 | | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | | |

| | Declaration | | | | | | | | | | |
|------------|----------------------------------------------------------------------------------------|--------|--------------------------------|-----------------------|-----------------------------|--|--|--|--|--|--|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public | | | | | | |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No | | | | | | |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No | No | No | No | | | | | | |
| 3 | Whether the Listed Entity has issued any Warrants? | No | No | No | No | | | | | | |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No | | | | | | |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No | | | | | | |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | | | | | | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No | | | | | | |

| | | Tabl | le I - Sum | mary S | Statement | holding o | f specified s | ecurities | | | | |
|-----------------|-----------------------------------------|----------------------|-------------------------|---------------------------------|------------------------------------|-------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------|---------------|----------|-------------------------------|--|
| | | | No. of | No. Of Partly | , No. Of | Total nos. | Shareholding as a % of total no. of | Number of Voting Rights held in each class of securities (IX) | | | | |
| Category (I) | Category of shareholder | Nos. Of shareholders | fully paid up equity | paid- up | shares underlying Depository | shares shares held (calculated No of Voting (XIV) Rights (VII) = as per | | |) Rights | | | |
| () | (II) | (III) | shares held (IV) | equity shares held (V) | Receipts (VI) | (IV)+(V) + (VI) | SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | Total as a % of (A+B+C) | |
| (A) | Promoter & Promoter Group | 2 | 9525691 | | | 9525691 | 73.85 | 9525691 | | 9525691 | 73.85 | |
| (B) | Public | 14399 | 3372925 | | | 3372925 | 26.15 | 3372925 | | 3372925 | 26.15 | |
| (C) | Non Promoter- Non Public | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | |
| | Total | 14401 | 12898616 | | | 12898616 | 100 | 12898616 | | 12898616 | 100 | |

| | | Table I | - Summary | Statement I | nolding of spec | ified | securiti | es | | | |
|----------|-----------------------------------------|----------------------------------------|---------------------------------|---------------------------------------------------------------------------------|-----------------------------|----------------------------------------|------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------|---------------------------------|--|
| Category | Category of | No. Of Shares Underlying | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants | assuming full conversion of | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in | |
| (1) | shareholder (II) | Outstanding convertible securities (X) | Outstanding Warrants (Xi) | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) | |
| (A) | Promoter & Promoter Group | | | | 73.85 | | | | | 9525691 | |
| (B) | Public | | | | 26.15 | | | | | 2845185 | |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | | | | 100 | | | | | 12370876 | |

| Category & Name of the Shareholders | Nos. Of shareholders (III) | No. of fully paid up equity shares | No. Of Partly | No. Of | Total nos. | Shareholding as a % of total no. of shares (calculated as per | Number of Voting Rights held in each class of securities (IX) | | | |
|---------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|---------------------------------|
| Name of the Shareholders | | | paid- up | shares underlying Depository | shares held (VII) = | | No of Voting (XIV) Rights | | Total as a % | |
| (1) | (111) | held (IV) | equity shares held (V) | Receipts (VI) | (IV)+(V) + (VI) | SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | of Total Votine rights |
| Table II - State | ement showing | shareholding | pattern o | of the Promote | er and Promo | ter Group | | | | 1 |
| Indian | | | | | | | | | | |
| Any Other (specify) | 2 | 9525691 | | | 9525691 | 73.85 | 9525691 | | 9525691 | 73.85 |
| | 2 | 9525691 | | | 9525691 | 73.85 | 9525691 | | 9525691 | 73.85 |
| Foreign | | | | | | | | | | |
| | 2 | 9525691 | | | 9525691 | 73.85 | 9525691 | | 9525691 | 73.85 |
| Table III - Sta | tement showing | shareholdin | g pattern | of the Public | shareholder | | | | | |
| Institutions | | | | | | | | | | |
| Mutual Funds | 5 | 392 | | | 392 | 0 | 392 | | 392 | 0 |
| Foreign Portfolio Investors | 3 | 1481451 | | | 1481451 | 11.49 | 1481451 | | 1481451 | 11.49 |
| Financial Institutions/ Banks | 21 | 19055 | | | 19055 | 0.15 | 19055 | | 19055 | 0.15 |
| Insurance Companies | 1 | 500 | | | 500 | 0 | 500 | | 500 | 0 |
| | 30 | 1501398 | | | 1501398 | 11.64 | 1501398 | | 1501398 | 11.64 |
| Central Government/ State Government (s)/ President of India | 3 | 110372 | | | 110372 | 0.86 | 110372 | | 110372 | 0.86 |
| | 3 | 110372 | | | 110372 | 0.86 | 110372 | | 110372 | 0.86 |
| Non-institution | ns | | | | | | | | | • |
| Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 13533 | 1252445 | | | 1252445 | 9.71 | 1252445 | | 1252445 | 9.71 |
| | Any Other (specify) Foreign Table III - State Institutions Mutual Funds Foreign Portfolio Investors Financial Institutions/ Banks Insurance Companies Central Government/ State Government (s)/ President of India Non-institution Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 | Any Other (specify) 2 Foreign Table III - Statement showing Institutions Mutual Funds 5 Foreign 21 Institutions 31 Foreign 21 Enancial Institutions/ Banks 11 Insurance Companies 1 Central Government/ State Government/ State Government (s)/ President of India 3 Non-institutions Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. Individuals - I | Any Other (specify) 2 9525691 | Any Other (specify) 2 | Any Other (specify) | Any Other (specify) 2 9525691 9525691 2 9525691 9525691 Foreign 2 9525691 9525691 Table III - Statement showing shareholding pattern of the Public shareholder Institutions Mutual Funds 5 392 392 Foreign 7 1481451 1481451 Financial Institutions/ 21 19055 19055 Banks 1501398 1501398 Central Government/ State Government (s)/ President of India 3 110372 110372 Non-institutions Individuals - 13533 1252445 110500 1252445 Individuals - 13533 1252445 | Any Other (specify) 2 | Any Other (specify) 2 9625691 9625691 73.85 9625691 2 9625691 9525691 73.85 9525691 5 73.85 9525691 9525691 73.85 9525691 6 73.85 9525691 9525691 73.85 9525691 7 7 7 7 7 7 7 7 7 | Any Other (spedify) 2 9525691 9525691 73.85 9525691 | Any Other (specify) |

| (a(ii)) | shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 2 | 99349 | | 99349 | 0.77 | 99349 | 99349 | 0.77 |
|------------------------------------------------------------------|-------------------------------------------------------------------------------------|----------------|------------------|----------------------|--------------|-----------------|----------|----------|-------|
| (b) | NBFCs registered with RBI | 4 | 70056 | | 70056 | 0.54 | 70056 | 70056 | 0.54 |
| (e) | Any Other (specify) | 827 | 339305 | | 339305 | 2.63 | 339305 | 339305 | 2.63 |
| Sub-Total (B)(3) | | 14366 | 1761155 | | 1761155 | 13.65 | 1761155 | 1761155 | 13.65 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3) | | 14399 | 3372925 | | 3372925 | 26.15 | 3372925 | 3372925 | 26.15 |
| С | Table IV - Sta | tement showing | g shareholding p | attern of the Non Pi | romoter- Non | Public sharehol | der | | |
| Total (A+B+C2) | | 14401 | 12898616 | | 12898616 | 100 | 12898616 | 12898616 | 100 |
| Total (A+B+C) | | 14401 | 12898616 | | 12898616 | 100 | 12898616 | 12898616 | 100 |

| Sr. | Outstanding convertible | Warrants | No. Of Shares Underlying Outstanding convertible securities and | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of | Number of Locked in shares (XII) | | Share pledo other | ged or wise mbered | Number of equity shares held in |
|----------------------------------------------------------------------|-------------------------|----------------|-----------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|----------------------------------------|---------------------------------|-------------------------|---------------------------------|---------------------------------------|
| | | | No. Of Warrants (Xi) (a) | diluted share | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| A | Table II - State | ment showing s | shareholding patte | ern of the Promoter ar | nd Proi | moter Gro | up | | |
| (1) | Indian | | | | | | | | |
| (d) | | | | 73.85 | | | | | 9525691 |
| Sub-Total (A) (1) | | | | 73.85 | | | | | 9525691 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 73.85 | | | | | 9525691 |
| В | Table III - Stat | ement showing | shareholding patt | ern of the Public sha | reholde | er | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0 | | | | | 142 |
| (e) | | | | 11.49 | | | | | 1481401 |
| (f) | | | | 0.15 | | | | | 11767 |
| (g) | | | | 0 | | | | | 0 |
| Sub-Total (B) (1) | | | | 11.64 | | | | | 1493310 |
| (2) | | | | 0.86 | | | | | 30392 |
| Sub-Total (B) (2) | | | | 0.86 | | | | | 30392 |
| (3) | Non-institution | ns | | | | | | | |
| (a(i)) | | | | 9.71 | | | | | 836010 |
| (a(ii)) | | | | 0.77 | | | | | 99349 |
| (b) | | | | 0.54 | | | | | 70056 |
| (e) | | | | 2.63 | | | | | 316068 |
| Sub-Total (B) (3) | | | | 13.65 | | | | | 1321483 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 26.15 | | | | | 2845185 |
| С | Table IV - Stat | ement showing | shareholding patt | tern of the Non Prom | oter- N | lon Public | shareh | nolder | |
| Total (A+B+C2) | | | | 100 | | | | | 12370876 |

| Total | | 100 | | | 12370876 |
|---------|--|-----|--|--|----------|
| (A+B+C) | | | | | |

| | Any Other (| specify) | |
|-----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|---------------------------------|-----------------------|
| Searial No. | 1 | 2 | |
| Category | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SHAPOORJI PALLONJI AND COMPANY PRIVATE LIMITED | FORBES CAMPBELL FINANCE LIMITED | |
| PAN (II) | AAACS6994C | AAACL0532H | Total |
| No. of the Shareholders (I) | 1 | 1 | 2 |
| No. of fully paid up equity shares held (IV) | 9359293 | 166398 | 9525691 |
| No. Of Partly paid- up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 9359293 | 166398 | 9525691 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 72.56 | 1.29 | 73.85 |
| Number of Voting | Rights held in each class of securities (IX) | | |
| Class eg: X | 9359293 | 166398 | 9525691 |
| Class eg:y | | | |
| Total | 9359293 | 166398 | 9525691 |
| Total as a % of Total Voting rights | 72.56 | 1.29 | 73.85 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of | 72.56 | 1.29 | 73.85 |

| Number of Locked | lin shares (XII) | | |
|--------------------------------------------------------------------|----------------------------------------|----------------|---------|
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of Shares | pledged or otherwise encumbered (XIII) | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 9359293 | 166398 | 9525691 |
| Reason for not pro | viding PAN | | |
| Reason for not providing PAN | | | |
| Shareholder type | Promoter Group | Promoter Group | |

| | Foreign I | Portfolio Investors | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|---------------------------------|----------------------|
| Searial No. | 1 | 2 | |
| Name of the Shareholders (I) | INDIA DISCOVERY FUND LIMITED | ANTARA INDIA EVERGREEN FUND LTD | Click here to go bac |
| PAN (II) | AABCI7227H | AABCI6920P | Total |
| No. of fully paid up equity shares held (IV) | 1148255 | 333146 | 1481401 |
| No. Of Partly paid- up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1148255 | 333146 | 1481401 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 8.9 | 2.58 | 11.48 |
| Number of Voting | Rights held in each class of securities (IX) | | |
| Class eg: X | 1148255 | 333146 | 1481401 |
| Class eg:y | | | |
| Total | 1148255 | 333146 | 1481401 |
| Total as a % of Total Voting rights | 8.9 | 2.58 | 11.48 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share cupical) (XI)= (VII) +(X) As a % of (A+B+C2) | 8.9 | 2.58 | 11.48 |
| (| | | |
| Number of Locked | in shares (XII) | | |

| Shares held (b) | | | | | | | | | |
|--------------------------------------------------------------------|------------------------------|--------|---------|--|--|--|--|--|--|
| Number of equity shares held in dematerialized form (XIV) | 1148255 | 333146 | 1481401 | | | | | | |
| Reason for not pro | Reason for not providing PAN | | | | | | | | |
| Reason for not providing PAN | | | | | | | | | |

| | | | Any | Other (spec | cify) | | |
|--------------------------------------------------------------------------------------------------------------------|------------------------------|---------------|-------------|---------------------|---------------------------------|---------------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Non-Resident Indian (NRI) | Trusts | HUF | Clearing Members | Overseas Corporate Bodies | Bodies Corporate | Others |
| Category / More than 1 percentage | Category | Category | Category | Category | Category | Category | Category |
| Name of the Shareholders (I) | | | | | | | Limited Liablity Partnership - LLF |
| PAN (II) | | | | | | | |
| No. of the Shareholders (I) | 142 | 2 | 403 | 126 | 1 | 136 | 5 |
| No. of fully paid up equity shares held (IV) | 27888 | 640 | 84371 | 108208 | 1580 | 104853 | 8425 |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 27888 | 640 | 84371 | 108208 | 1580 | 104853 | 8425 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.22 | 0 | 0.65 | 0.84 | 0.01 | 0.81 | 0.07 |
| Number of Voting | Rights held in each | olass of secu | urities(IX) | | | | |
| Class eg: X | 27888 | 640 | 84371 | 108208 | 1580 | 104853 | 8425 |
| Class eg:y | | | | | | | |
| Total | 27888 | 640 | 84371 | 108208 | 1580 | 104853 | 8425 |
| Total as a % of Total Voting rights | 0.22 | 0 | 0.65 | 0.84 | 0.01 | 0.81 | 0.07 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of | 0.22 | 0 | 0.65 | 0.84 | 0.01 | 0.81 | 0.07 |

| diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | | | | | | | |
|--------------------------------------------------------------------|----------------------------------|-----|-------|--------|---|-------|------|
| Number of Locked | Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 20852 | 640 | 84371 | 108208 | 0 | 93572 | 8425 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| | Any Other (sp | ocifu) | |
|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------|-----------------------|--|
| Searial No. | Any Other (sp | | |
| Category | Enemy Property | | |
| Category / More than 1 percentage | Category | | |
| Name of the Shareholders (I) | | Click here to go back | |
| PAN (II) | | Total | |
| No. of the Shareholders (I) | 12 | 827 | |
| No. of fully paid up equity shares held (IV) | 3340 | 339305 | |
| No. Of Partly paid- up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3340 | 339305 | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.03 | 2.63 | |
| Number of Voting Rights held i | n each class of securities (IX) | | |
| Class eg: X | 3340 | 339305 | |
| Class eg:y | | | |
| Total | 3340 | 339305 | |
| Total as a % of Total Voting rights | 0.03 | 2.63 | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) | 0.03 | 2.63 | |

| +(X) As a % of (A+B+C2) | | | | | | |
|-----------------------------------------------------------|---|--------|--|--|--|--|
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 316068 | | | | |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |